



Prospr Aligned

Aligning Investments with Your Values.

Introduction

FOCUSING CAPITAL ON RETURNS, **NOT POLITICS**

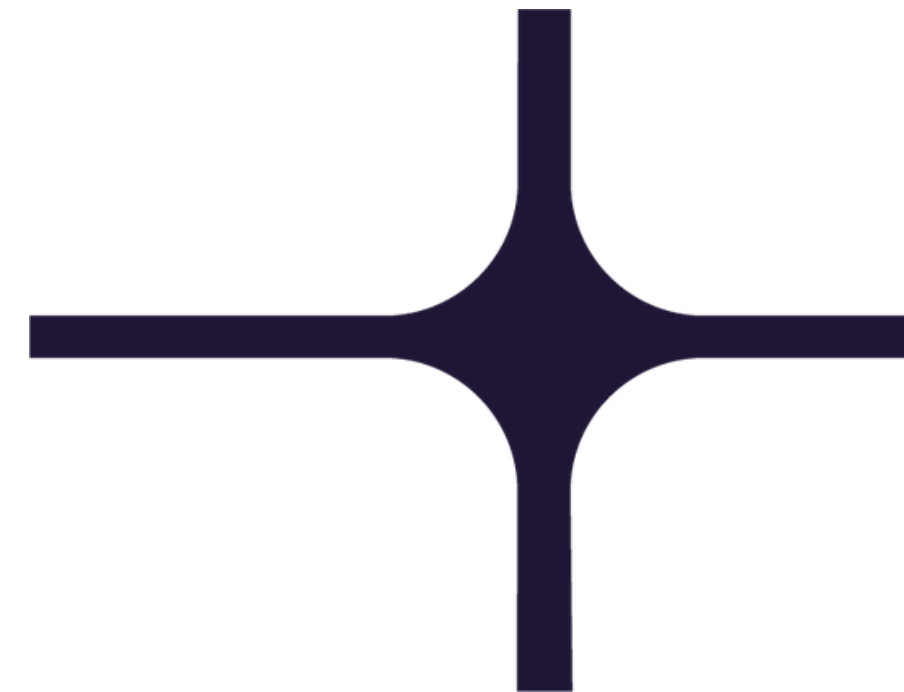


At Prospr Aligned, our mission is to empower investors to align their investments with their principles—amplifying their voice to influence corporate behavior, ensuring that capital works for more than just returns—it reverberates with purpose.

We believe true prosperity is about investing with intention, ensuring every dollar reflects your priorities and principles. Your voice has the power to shape and preserve American capitalism. Through engagement and purposeful action, we help transform investments into a platform for progress.

Our comprehensive suite of services include:

- Proxy consulting and research services
- Shareholder engagement
- Direct Indexing
- Custom index creation



Prospr Aligned Team



Derek Kreifels, CEO

- CEO of Prospr Aligned and co-founder of State Financial Officers Foundation
- Appointed to Kansas Public Employees Retirement System (KPERs) board
- Former Assistant State Treasurer of Kansas overseeing \$21B annually
- Led "Our Money Our Values" campaign reaching 32 million Americans
- Expert on ESG investing risks and fiduciary responsibility



John Coleman, Chairman

- Chairman of Prospr Aligned; brings decades of leadership in investment strategy and institutional asset management
- Co-CEO of Sovereign's Capital; former senior executive at Invesco, including roles as Head of Private Capital Partners and COO of Private Markets
- Deep experience in corporate governance, capital markets, and values-aligned investing
- Contributor to Harvard Business Review and author of four books on leadership and purpose-driven work

Prospr Aligned Team



Jerry Bowyer, Corporate Engagement & Proxy Voting Expert

- Corporate Engagement & Proxy Voting Expert of Prospr Aligned
- Consulted on proxy votes at over 2,000 annual meetings
- Created Bowyer Research Proxy Voting Guidelines for ISS
- Resident Economist with Kingdom Advisors
- Senior Fellow in Financial Economics at the Center for Cultural Leadership



Josh Demasi, Senior Investment Specialist

- Investment Specialist at Prospr Aligned with 15+ years of experience in institutional client service, fixed income strategy, and portfolio management
- Former Global Strategist at Aperture Investors, managing complex institutional relationships and driving portfolio efficiency
- Previously held senior roles at Loomis Sayles, developing bespoke investment strategies for institutional clients

Proxy Voting Consulting

Restoring Trust in Capitalism

Our proxy consulting services prioritize transparency, accountability, and long-term value while preserving economic freedom. We provide thorough analysis of proxy materials perfectly aligned with client economic values and priorities, enabling informed voting decisions that uphold fiduciary responsibility.



Objective

Ensure investors' proxy votes align with fiduciary duty, governance standards, and client-centric priorities.

Deliverables

Proxy Voting Audit – Provide in-depth research on proxy votes, identifying proposals that may misalign with fiduciary responsibilities or investor objectives.

Voting Recommendations & Strategies – Offer customized voting guidance, ensuring decisions support long-term shareholder value.

Timely Alerts on Key Votes – Notify beneficiaries of publicly sensitive votes to enhance communication strategies and mitigate reputational risks.

Regulatory & Trend Monitoring – Track industry trends, shareholder resolutions, and regulatory changes to keep investors informed and ahead of evolving governance standards.

Corporate Engagement Services

Principled Shareholder Engagement

In today's complex market, where activism often dominates the narrative, Prospr seeks to amplify your voice through direct interactions with company leadership, ensuring they remain focused on long-term shareholder value rather than short-term social or political agendas.



Objective

Equip investors with tools to directly influence corporate governance and policies through strategic engagement.

Deliverables

Engagement Strategy Development – Identify and prioritize companies for engagement based on governance concerns, economic impact, and proxy voting misalignments.

Direct Dialogue with Corporate Management – Arrange discussions with board members and executive teams to advocate for fiduciary-first decision-making.

Escalation Measures & Shareholder Proposals – Support clients in filing shareholder proposals to challenge problematic governance practices or policies.

Engagement Outcome Reports – Provide comprehensive documentation on corporate responses, progress tracking, and ongoing advocacy efforts.

Direct Indexing

via Separately Managed Accounts

Giving Direct Control of Shares & Proxy Voting

Direct Indexing empowers fiduciaries to own individual securities—retaining full proxy voting rights and enabling precise alignment with investment beliefs, governance principles, and institutional mandates. This service provided in conjunction with our asset management partner, Vident.



Objective

Empowering fiduciaries to take back control of their investments and their votes—without compromising values or returns.

Deliverables

Negative/Positive Screening – Exclude companies misaligned with fiduciary, ethical, or mission-aligned priorities—or emphasize sectors that support enduring American free enterprise.

Cost Competitive – Built on scalable infrastructure –without ideological baggage or hidden agenda.

Infinitely Custom – Tailor portfolios by geography, sector, values, or proxy alignment preferences. Ownership without compromise.

Reporting Relevant to Beneficiaries– Receive clear reports designed to communicate investment alignment, proxy decisions, and engagement actions to boards, constituents, or regulators.

Custom Index Consulting

Aligned & Tailored Investment Solutions

We help clients think through the development of bespoke investment solutions that perfectly align with your organizational objectives and values. Our custom indexes can focus on companies that have meaningful local economic impact, maintaining a strong connection to your community's prosperity while seeking to deliver investment returns.



Objective

Consult on bespoke investment solutions that align with investors' fiduciary responsibilities, values, and local economic priorities.

Deliverables

Custom Index Design & Implementation – Identify companies that meet key investment criteria, such as financial resilience, strategic importance, and regional impact.

Fiduciary-Focused Methodology – Construct an index without non-financial constraints (e.g., avoiding forced ESG scoring metrics).

Asset Manager Licensing – Work with preferred investment partner firm to structure and launch ETFs and other index-based funds.

Investor Alignment & Community Outcomes – Create indexes that enable investors to support local economies (e.g., regional stock indexes).

Investment returns and community prosperity cannot be guaranteed.



Thank you!

Feel free to reach out to us if you have any questions.

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THOMAS THORPE
AND PARTNERS
LLP

DISCLOSURE



The information presented herein is for discussion and illustrative purposes and is subject to change without notice or obligation to update. This information is not intended to be investment advice.

The opinions, proxy voting guidelines, and screening guidelines discussed herein may change at any time without client consultation.

Past results are not indicative of future results.

